



**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

|    |   |
|----|---|
| 1. | Name of Listed Entity: Phillips Carbon Black Limited  |
| 2. | Scrip Code/Name of Scrip/Class of Security: 506590(BSE) PHILIPCARB(NSE) 10026125 (CSE)                                      |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)   |
|    | a. If under 31(1)(b) then indicate the report for Quarter ending 30TH June 2017   |
|    | b. If under 31(1)(c) then indicate date of allotment/extinguishment   |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |

|   | Particulars  | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares?                        | Yes  | No  |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4 | Whether the Listed Entity has any shares in locked-in?                                 |      | No  |
| 5 | Whether any shares held by promoters are pledged or otherwise encumbered?              |      | No  |

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**PHILLIPS CARBON BLACK LTD.**

Company Secretary & Chief Legal Officer



**Phillips Carbon Black Limited**  
**Table I - Summary Statement holding of specified securities**

| Category | (i)<br>Category of shareholder | (ii)<br>Nos. of shares held | (iii)<br>No. of fully paid up equity shares held | (iv)<br>No. of Partly paid-up equity shares held | (v)<br>No. of Depositor shares held | (vi)<br>Total nos. of shares held | (vii) = (vi) / (viii) As a % of total shares (calculate as per SCRR, 1957) | (ix) As a % of Total Voting Rights held in each class of securities |                         | (x)<br>No. of Underlying Shares | (xi) = (x) / (xii) Shareholding % assuming full conversion of convertible securities (as a % of total shares) | (xiii)<br>Number of Locked in Shares | (xiv)<br>Number of Shares pledged or otherwise encumbered | (xv)<br>Number of equity shares held in dematerialised form |
|----------|--------------------------------|-----------------------------|--|--|-------------------------------------|-----------------------------------|--|---|-------------------------|---------------------------------|---|--------------------------------------|---|---|
|          |                                |                             |  |  |                                     |                                   |  | (x) Class e.g. X  | (xi) Class e.g. Y/Total |                                 |   |                                      |   |   |
| (A)      | Promoter & Promoter Group      | 4                           | 19461557   | 0  | 0                                   | 19461557                          | 53.5621  | 19461557  | 53.5621                 | 0                               | 0.0000  | 0                                    | 0.0000  | 19461557  |
| (B)      | Public                         | 34848                       | 16006015   | 1732   | 0                                   | 16006015                          | 46.4379  | 16006015  | 46.4379                 | 0                               | 0.0000  | NA                                   | NA  | 15185961  |
| (C)      | Non Promoter - Non Public      |                             |  |  |                                     |                                   |  |   |                         |                                 |   |                                      |   |   |
| (C1)     | Shares Underlying DRs          | 0                           | 0  | 0  | 0                                   | 0                                 | 0.0000   | 0   | 0.0000                  | 0                               | 0.0000  | NA                                   | NA  | 0   |
| (C2)     | Shares Held By Employee Trust  | 0                           | 0  | 0  | 0                                   | 0                                 | 0.0000   | 0   | 0.0000                  | 0                               | 0.0000  | NA                                   | NA  | 0   |
|          | Total                          | 34852                       | 34465940   | 1732   | 0                                   | 34467572                          | 100.0000   | 34467572  | 100.0000                | 0                               | 100.0000  | 0                                    | 0.0000  | 33647518  |

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**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Category & Name of the shareholders                                   | PAN         | Nos. of shares held | No. of fully paid up equity shares held | Partly paid-up equity shares held | No. of Depository shares held | Total nos. of shares held (A+B+C+D) | Shareholding % as per SCRR, 1957 As a % of (A+B+C+D) | Number of Voting Rights held in each class |             |       | Total as a % of (A+B+C+D) | No. of Underlying securities convertible (including Warrants) | Shareholding % assuming full conversion of convertible securities (as a percentage) | Number of Locked In Shares        |         | Number of Shares pledged or otherwise encumbered | As a % of total Shares held (XIII) | Number of equity shares held in dematerialised form (XIV) |
|---|-------------|---------------------|---|-----------------------------------|-------------------------------|-------------------------------------|--|--|-------------|-------|---------------------------|---|---|-----------------------------------|---------|--|------------------------------------|---|
|   |             |                     |   |                                   |                               |                                     |  | Class eg: (A+B+C)                          | Class eg: Y | Total |                           |   |   | As a % of total Shares held (XII) | No. (a) |  |                                    |   |
| 1   |             |                     |   |                                   |                               |                                     |  |  |             |       |                           |   |   |                                   |         |  |                                    |   |
| (a) Indian Individuals / Hindu Undivided Family                       |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (b) Central Government / State Government(s)                          |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (c) Financial Institutions / Banks                                    |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (d) Any Other (Specify)   |             | 4                   | 18461557                                | 0                                 | 0                             | 18461557                            | 53.5621  | 18461557                                   | 0           | 0     | 18461557                  | 53.5621   | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 18461557  |
| Bodies Corporate  | AAAACR3915Q | 1                   | 17303074                                | 0                                 | 0                             | 17303074                            | 50.2010  | 17303074                                   | 0           | 0     | 17303074                  | 50.2010   | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 17303074  |
| Bodies Corporate  | AAAACD9470A | 1                   | 1068000                                 | 0                                 | 0                             | 1068000                             | 3.0986   | 1068000                                    | 0           | 0     | 1068000                   | 3.0986  | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 1068000   |
| Rainbow Investments Limited   | AAAC3932H   | 1                   | 90383                                   | 0                                 | 0                             | 90383                               | 0.2622   | 90383                                      | 0           | 0     | 90383                     | 0.2622  | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 90383   |
| Dorex Merchandise Private Limited                                     | AAACT9815B  | 1                   | 100                                     | 0                                 | 0                             | 100                                 | 0.0003   | 100  | 0           | 0     | 100                       | 0.0003  | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 100   |
| Steel Holdings Limited  |             | 1                   | 18461557                                | 0                                 | 0                             | 18461557                            | 53.5621  | 18461557                                   | 0           | 0     | 18461557                  | 53.5621   | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 18461557  |
| Saregama India Limited  |             | 4                   | 18461557                                | 0                                 | 0                             | 18461557                            | 53.5621  | 18461557                                   | 0           | 0     | 18461557                  | 53.5621   | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 18461557  |
| Sub Total (A)(1)  |             | 4                   | 18461557                                | 0                                 | 0                             | 18461557                            | 53.5621  | 18461557                                   | 0           | 0     | 18461557                  | 53.5621   | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 18461557  |
| 2   |             |                     |   |                                   |                               |                                     |  |  |             |       |                           |   |   |                                   |         |  |                                    |   |
| (a) Foreign   |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (b) Individuals (Non-Resident Individuals / Foreign Individuals)      |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (c) Government  |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (d) Institutions  |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (e) Foreign Portfolio Investor  |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| (f) Any Other (Specify)   |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| Sub Total (A)(2)  |             | 0                   | 0                                       | 0                                 | 0                             | 0                                   | 0.0000   | 0  | 0           | 0     | 0.0000                    | 0   | 0.0000  | 0                                 | 0.0000  | 0  | 0.0000                             | 0   |
| Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2) |             | 4                   | 18461557                                | 0                                 | 0                             | 18461557                            | 53.5621  | 18461557                                   | 0           | 0     | 18461557                  | 53.5621   | 0   | 0.0000                            | 0       | 0.0000   | 0                                  | 18461557  |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :  
 (1) PAN would not be displayed on website of Stock Exchange(s)  
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

**PHILLIPS CARBON BLACK LTD.**  
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**Phillips Carbon Black Limited**

**Table III - Statement showing shareholding pattern of the Public Shareholder**

| Category & Name of the shareholders   | PAN        | Nos. of shareholders | No. of fully paid up shares | Partly paid-up equity shares | No. of Depository Shares | Total nos. of Depository Shares (A+B+C) | Shareholding % as per SCRR, 1957 As a % of (A+B+C) X | Number of Voting Rights held in each class |             | Total as a % of (A+B+C) | No. of Outstanding securities (including Warrants) | Shareholding % as a % of convertible securities (as a % of convertible securities) | Number of Locked in Shares | Number of Shares pledged or otherwise encumbered | Number of equity shares held in dematerialized form |
|---|------------|----------------------|-----------------------------|------------------------------|--------------------------|---|--|--|-------------|-------------------------|--|--|----------------------------|--|---|
|   |            |                      |                             |                              |                          |   |  | Class eq. Y                                | Class eq. Z |                         |  |  |                            |  |   |
| (i)   | (ii)       | (iii)                | (iv)                        | (v)                          | (vi)                     | (vii)                                   | (viii)   | (ix)                                       | (x)         | (xi)                    | (xii)  | (xiii)   | (xiv)                      | (xv)   |   |
| 1. Institutions   |            |                      |                             |                              |                          |   |  |  |             |                         |  |  |                            |  |   |
| Mutual Fund   |            | 8                    | 498041                      | 0                            | 0                        | 498041                                  | 1.4450   | 498041                                     | 0           | 498041                  | 1.4450   | 0  | 0.0000                     | NA   | 498041  |
| Venture Capital Funds   |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Alternate Investment Funds  |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Foreign Venture Capital Investors   |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Foreign Portfolio Investor  |            | 54                   | 3998807                     | 0                            | 0                        | 3998807                                 | 11.5929  | 3998807                                    | 0           | 3998807                 | 11.5929  | 0  | 0.0000                     | NA   | 3998807   |
| FII Investments (Mauritius) Ltd   |            | 0                    | 912458                      | 0                            | 0                        | 912458                                  | 2.6473   | 912458                                     | 0           | 912458                  | 2.6473   | 0  | 0.0000                     | NA   | 912458  |
| Financial Institutions / Banks  |            | 31                   | 149262                      | 0                            | 0                        | 149262                                  | 0.4331   | 149262                                     | 0           | 149262                  | 0.4331   | 0  | 0.0000                     | NA   | 149262  |
| Insurance Companies   |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Provident Funds/ Pension Funds  |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Any Other (Specify)   |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Sub Total (B)(1)  |            | 93                   | 4643110                     | 0                            | 0                        | 4643110                                 | 13.4710  | 4643110                                    | 0           | 4643110                 | 13.4710  | 0  | 0.0000                     | NA   | 4643110   |
| 2. Central Government/ State Government(s)/ President of India                      |            |                      |                             |                              |                          |   |  |  |             |                         |  |  |                            |  |   |
| Central Government/ State Government(s)   |            | 1                    | 467900                      | 0                            | 0                        | 467900                                  | 1.3575   | 467900                                     | 0           | 467900                  | 1.3575   | 0  | 0.0000                     | NA   | 467900  |
| Kerala State Industrial Development Corporation                                     | AAACG9434D | 1                    | 467900                      | 0                            | 0                        | 467900                                  | 1.3575   | 467900                                     | 0           | 467900                  | 1.3575   | 0  | 0.0000                     | NA   | 467900  |
| Sub Total (B)(2)  |            | 1                    | 467900                      | 0                            | 0                        | 467900                                  | 1.3575   | 467900                                     | 0           | 467900                  | 1.3575   | 0  | 0.0000                     | NA   | 467900  |
| 3. Non-institutions   |            |                      |                             |                              |                          |   |  |  |             |                         |  |  |                            |  |   |
| Individuals   |            | 0                    | 0                           | 0                            | 0                        | 0                                       | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| L. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.         |            | 32286                | 5524005                     | 1732                         | 0                        | 5525737                                 | 16.0317  | 5525737                                    | 0           | 5525737                 | 16.0317  | 0  | 0.0000                     | NA   | 4779424   |
| II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. |            | 24                   | 1654658                     | 0                            | 0                        | 1654658                                 | 4.8006   | 1654658                                    | 0           | 1654658                 | 4.8006   | 0  | 0.0000                     | NA   | 1654658   |
| NBFCs registered with RBI   |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Employee Trusts   |            | 0                    | 0.0000                      | 0                            | 0                        | 0.0000                                  | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Overseas Depositor(s)/holding DPs/ (balancing figure)                               |            | 0                    | 0                           | 0                            | 0                        | 0                                       | 0.0000   | 0  | 0           | 0.0000                  | 0.0000   | 0  | 0.0000                     | NA   | 0   |
| Any Other (Specify)   |            | 2444                 | 3714610                     | 0                            | 0                        | 3714610                                 | 10.7771  | 3714610                                    | 0           | 3714610                 | 10.7771  | 0  | 0.0000                     | NA   | 3643823   |
| Trusts  |            | 2                    | 9200                        | 0                            | 0                        | 9200                                    | 0.0267   | 9200                                       | 0           | 9200                    | 0.0267   | 0  | 0.0000                     | NA   | 9200  |
| Foreign Nationals   |            | 2                    | 1100                        | 0                            | 0                        | 1100                                    | 0.0032   | 1100                                       | 0           | 1100                    | 0.0032   | 0  | 0.0000                     | NA   | 1100  |
| Hindu Undivided Family  |            | 606                  | 327548                      | 0                            | 0                        | 327548                                  | 0.9503   | 327548                                     | 0           | 327548                  | 0.9503   | 0  | 0.0000                     | NA   | 327548  |
| Non Resident Indians (Non Repat)  |            | 180                  | 73482                       | 0                            | 0                        | 73482                                   | 0.2132   | 73482                                      | 0           | 73482                   | 0.2132   | 0  | 0.0000                     | NA   | 73482   |
| Non Resident Indians (Repatriate)   |            | 737                  | 304653                      | 0                            | 0                        | 304653                                  | 0.8815   | 304653                                     | 0           | 304653                  | 0.8815   | 0  | 0.0000                     | NA   | 304653  |
| Cleaning Member   |            | 352                  | 283142                      | 0                            | 0                        | 283142                                  | 0.8215   | 283142                                     | 0           | 283142                  | 0.8215   | 0  | 0.0000                     | NA   | 283142  |
| Market Maker  |            | 24                   | 34091                       | 0                            | 0                        | 34091                                   | 0.0989   | 34091                                      | 0           | 34091                   | 0.0989   | 0  | 0.0000                     | NA   | 34091   |
| Bodies Corporate  |            | 541                  | 2781394                     | 0                            | 0                        | 2781394                                 | 8.0695   | 2781394                                    | 0           | 2781394                 | 8.0695   | 0  | 0.0000                     | NA   | 2773319   |
| Bank Capital Markets Ltd.   | AA8G54440N | 1                    | 520000                      | 0                            | 0                        | 520000                                  | 1.5087   | 520000                                     | 0           | 520000                  | 1.5087   | 0  | 0.0000                     | NA   | 520000  |
| Sub Total (B)(3)  |            | 34754                | 10693273                    | 1732                         | 0                        | 10695005                                | 31.6094  | 10695005                                   | 0           | 10695005                | 31.6094  | 0  | 0.0000                     | NA   | 10077905  |
| Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)                                |            | 34848                | 15004283                    | 1732                         | 0                        | 15006015                                | 46.4379  | 15006015                                   | 0           | 15006015                | 46.4379  | 0  | 0.0000                     | NA   | 15185961  |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective filings to the extent information available and the balance to be disclosed as per the custodian.

Company Secretary & Chief Legal Officer

PHILLIPS CARBON BLACK LTD.

